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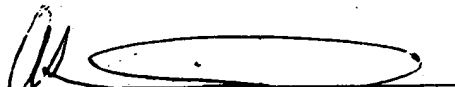
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Section #1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 12, 2002.


Alex Martinez

Applicant : Mark J. Owens, et al.
Application No. : 10/028,745
Filed : December 21, 2001
Title : WDM ADD/DROP MULTIPLEXER MODULE
Grp./Div. : 2835
Examiner : To be assigned
Docket No. : 47630/DBP/C664

**LETTER IN RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

P.O. Box 7068
Pasadena, CA 91109-7068
March 12, 2002

Commissioner:

In response to the Notice to File Missing Parts of Application dated **January 22, 2002**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Check for \$2,058.00 for the filing fee and a surcharge check for \$130.00.
3. Executed Declaration and Power of Attorney.
4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728.

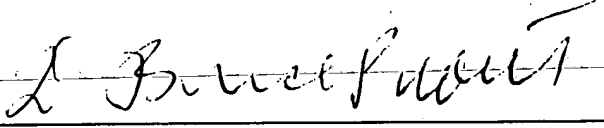
Application No. 10/028,745

Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/mas
Enclosures: As Listed

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/028,745 | 12/21/2001 | Mark J. Owens | 47630/DBP/C664 |

CONFIRMATION NO. 9672

23363
CHRISTIE, PARKER & HALE, LLP
350 WEST COLORADO BOULEVARD
SUITE 500
PASADENA, CA 91105

FORMALITIES LETTER



OC000000007342327

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1318.
 - \$954 for 53 total claims over 20.
 - \$84 for 1 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2188.**

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|-----------|-----------|
| 01 FC:101 | 740.00 OP |
| 02 FC:105 | 130.00 OP |
| 03 FC:103 | 954.00 OP |
| 04 FC:102 | 84.00 OP |
| 05 FC:104 | 280.00 OP |

A copy of this notice MUST be returned with the reply.

KSAMON

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE